



# WIIA/IMG GRANT APPLICATION

## NYS WATER INFRASTRUCTURE IMPROVEMENT (WIIA) & NYS INTERMUNICIPAL WATER INFRASTRUCTURE GRANTS (IMG) PROGRAM

Thank you for your interest in the WIIA/IMG Program! Please fill out this application and make sure all required fields are entered. For more information or assistance with this application, please see our [Application Instructions](#) guide.

For more information on the types of financing options available, please visit [EFC's website](#).

**Please choose if you are submitting a Clean Water or Drinking Water WIIA/IMG application.**

Clean Water

**Are you applying for Grant Only or Grant + SRF Financing?**

Grant + SRF Financing

### A. APPLICANT

<b>Name of Applicant</b>	City of Peekskill
<b>County</b>	Westchester
<b>Unique Entity Identifier (UEI)</b>	SWV8J5FNL9T3
<b>Highest Elected Official (HEO)</b>	Vivian C. McKenzie
<b>HEO Title</b>	Mayor
<b>HEO Address</b>	840 Main Street Peekskill, NY, 10566
<b>HEO Phone Number</b>	(914) 737-3400
<b>HEO Email</b>	vmckenzie@cityofpeekskill.com
<b>Authorized Representative (AR)</b>	Mathew Alexander
<b>AR Title</b>	City Manager
<b>AR Phone</b>	(914) 737-3400
<b>AR Email</b>	malexander@cityofpeekskill.com

<b>Daily Contact (DC)</b>	David Rambo
<b>DC Title</b>	Water & Sewer Superintendent
<b>DC Phone</b>	(914) 734-4135
<b>DC Email</b>	dfletcher@bartonandloguidice.com
<b>DC Company</b>	City of Mechanicville
<b>Engineering Consultant (EC)</b>	Donald H. Fletcher, P.E.
<b>EC Title</b>	Senior Vice President
<b>EC Phone</b>	(518) 218-1801
<b>EC Email</b>	dfletcher@bartonandloguidice.com
<b>EC Company</b>	Barton & Loguidice, D.P.C.

## B. Grant Category

For more information about these programs or assistance with this application, please see our [Application Instructions](#) guide.

**Identify the grant program(s) for which you are applying by checking the appropriate box or boxes below.**

New York Water Infrastructure Improvement Act (WIIA) grant

## C. GENERAL INFORMATION

<b>Project Name</b>	City of Peekskill Sewer and Pump Station Improvements Project
<b>Project Location</b>	Peekskill, NY
<b>Latitude</b>	41.285736
<b>Longitude</b>	-73.932080

### Legislative Districts

Include multiple districts if applicable. To help identify your districts, visit: <https://www.elections.ny.gov/district-map.html>

<b>NY Assembly</b>	095
<b>NY Senate</b>	40
<b>Congressional Districts</b>	17

<b>District Name / Service Area:</b>	Peekskill Sanitary Sewer District
<b>Population of Project Service Area</b>	26000
<b>SPDES Permit Number</b>	NY0100803
<b>Waterbody Affected by Project</b>	Hudson River
<b>Design Start Date</b>	Monday, May 1, 2023
<b>Design Start Date (Target/Actual)</b>	Target
<b>Construction Start Date</b>	Friday, March 1, 2024
<b>Construction Start Date (Target/Actual)</b>	Target
<b>Construction End Date</b>	Thursday, May 1, 2025
<b>Construction End Date (Target/Actual)</b>	Target

## D. PROJECT INFORMATION

### Provide a brief description of your project.

The proposed improvements will improve the flood resiliency at the Hudson River, as well as reduce inflow and infiltration (I/I) to the City's sewer system. The Riverfront Pump Station often fails due to its proximity to the tidal waters of the Hudson River. High tide and wet weather events lead to pump station floods, causing pumps to overheat and fail. The proposed project includes replacement of the existing Riverfront Pump Station in kind with a new pump station structure. The new pump station will be raised above the 100-year flood elevation to improve flood resiliency. The new pump station will include submersible sewage pumps, process piping, valves, instruments, and controls. Additional sanitary sewer improvements include cured-in-place pipe (CIPP) lining, sanitary sewer heavy cleaning, and open cut repairs where required. These additional improvements will reduce I/I resulting from existing pipe defects and damage. These I/I flows are sizable in the Peekskill Sewer District, and extraneous flows add strain to the County wastewater treatment equipment, which increases energy costs.

**Is the project required to be undertaken by an executed enforcement instrument or a SPDES permit (e.g. notice of violation, consent order, judicial order, compliance schedule, DEC- approved Long Term Control Plan)?**

No

**Indicate whether the project scope includes any of the following by checking all that apply.**

None

**Describe the project's community impacts, including but not limited to public support for the project, critical community resources served by this project, and economic development impacts.**

The Proposed Sewer and Pump Station Improvements will enhance the resiliency of existing City sewer infrastructure. Sanitary sewage conveyance is a critical community resource. Much of the existing sewer system is deteriorating and past its useful life. Implementing proposed improvements will extend the useful life of the existing sewer system. The pump station improvements will protect the health and safety of Riverfront Green Park users and the surrounding Hudson River. These improvements will prevent future pump failures and system overflows which often lead to sewage spilling over into the surrounding park and Hudson River.

**Will the impacts of the proposed water quality improvement project demonstrate a benefit to an EJ area, community, or street location as indicated on maps available at DEC's website and DECInfo Locator mapper.**

Yes

The DECInfo Locator mapper can be found at <https://gisservices.dec.ny.gov/gis/dil/>.

**Please describe.**

The proposed pump station improvements will preserve the surrounding Riverfront Green Park, and protect the environment and public from sewage overflowing during high tide and wet weather events. The Riverfront Green Park lies within a NYS DEC designated Environmental Just Area. This project will contribute to enhancing the infrastructure and public areas in a City with a high population of low income and minority communities. Enhancing the flood resiliency of the sanitary sewer system will ensure sewage does not overflow into surrounding Riverfront Green Park during high tide and wet weather events. Performing sewer repairs that will reduce I/I will also reduce the strain on the downstream wastewater treatment plant, promoting energy savings and longer life cycle of the treatment plant and equipment.

**Is the project identified in any regional planning initiatives (e.g. a Comprehensive Plan, Regional Planning document, Waterfront Revitalization Plan, Watershed Plan or Estuary Plan, or DEC Nine Element Watershed Plan)?**

No

**Does the project require easements or land acquisition?**

No

**Describe the current status of the project and your ability to demonstrate readiness to proceed including, but not limited to, an executed engineering agreement for design services, executed professional service agreements, detailed project schedule, and plans and specifications.**

The City plans to solicit bids for design services in late 2022 or early 2023, with design kicking off in January 2023. Construction is scheduled to start in July 2023 and will be complete in January 2024.

**Will the project require the formation of a new Water/Sewer district or will it extend the district?**

No

Has the applicant submitted timely Annual Financial Report Update Documents (AUD) to OSC for each of the last three years?

Yes

## E. TOTAL PROJECT BUDGET

Please add line items to the categories below as needed. If you have any additional questions, please call EFC or refer to the Intended Use Plan. All costs must be based on estimates that are not more than 6 months old.

### Construction Costs

	Amount
Contract 1	3000000
Contract 2	
Contract 3	
Contract 4	

### Name of Contract 1

City of Peekskill Sewer & Pump Station Improvements Project

### Engineering Costs

	Amount
Planning	
Design	850000
Construction	
Other	

### Other Expenses

	Amount
Local Counsel	25000
Bond Counsel	25000
Work Force - Technical	
Work Force - Administrative	
Fiscal Services	
Net Interest	100000
Miscellaneous	

If applicable, please describe "Miscellaneous" costs and provide a breakdown of each cost.

Construction Contingency (10%)

**Additional Costs**

	Amount
Equipment	
Land Aquisition	
Contingencies	300000

**Total Project Costs** 4300000

**Date cost estimate was developed:** Wednesday, June 1, 2022

**F. PLAN OF FINANCE**

If awarded a grant, please identify all applicable sources of funding available that will be used to pay project costs not covered by the grant being applied for. Please provide as much detail as possible for the source of funds in the plan of finance. as this may impact the grant amount.

Note: Projects that are not using Clean Water State Revolving Fund (CWSRF) financial assistance for the balance of their project:

- will not be added to the SRF Intended Use Plan,
- will not be eligible for Bipartisan Infrastructure Law (BIL) funding, and
- must be prepared to fund the balance of the project with funding similarly low cost to SRF financial assistance.

**Municipal Contributions**

Amount	Source	Not Applicable
0		

**Municipal Interfund Borrowing**

Amount	Source	Not Applicable
0		

**Non-EFC Debt (BAN, Bonds, other) - Debt instruments not provided by EFC. If a BAN is callable, provide a call date.**

Amount	Type	Issue Date	Call Date	Not Applicable
4,300,000	Municipal Bond	08/08/2022		

**Non-EFC Grants - Indicate grant program and status of application/award. Submit grant award letter if received.**

Amount	Program	Dropdown	Not Applicable
		Please Select	

**Other Funding**

Amount	Please describe	Not Applicable
		1

**G. REQUIRED DOCUMENTS**

The following documents are required and must be submitted with all applications if not previously submitted to EFC. **If any of these items are unavailable, do not continue with the application at this time.**

**Engineering Report**

Enclosed

**Engineering Report File Upload**



0-Peekskill - Sewer PER 2022 - FOR PRINTIN...

**Smart Growth Assessment Form**

Enclosed

**Smart Growth Assessment Form File Upload**



Appendix M - Smart Growth Assessment For...

**Environmental Review Determination**

Enclosed

**Environmental Review Determination File Upload**



Peekskill SEQR Type II Memo 8-3-22 (ID 267...

**SHPO Project Review Determination Letter**

Enclosed

**SHPO Project Review Determination Letter File Upload**



Peekskill SHPO - Additional Archaeology Co...



Peekskill Sewer{2}PS - SHPO (ID 2668497).p...

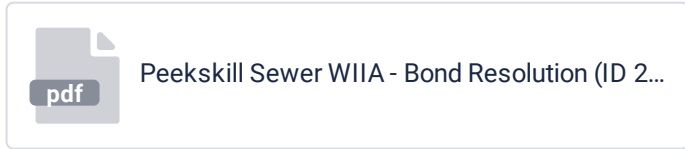
**Authorizing Resolution (Certified)**

Enclosed

**Authorizing Resolution File Upload**



P702.4680 Peekskill WIIA Sewer System Im...

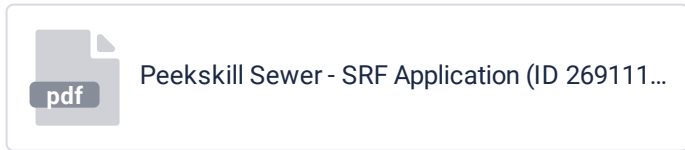


The following documents are required as indicated and must be submitted with the application if not previously submitted to EFC. **If any of these items apply to your project and are unavailable, do not continue with the application at this time.**

**SRF Financing Application (required if applying for WIIA or IMG grants with SRF Financing)**

Enclosed

**SRF Financing Application File Upload**



**Sewer or Water District Documentation, including any OSC Approvals (required for WIIA or IMG if applicable to your project)**

Not applicable or not available

**Award letter for any awarded grant related to project (required for projects that have received co-funding)**

Not Applicable

## H. ACKNOWLEDGEMENTS

Upon submission of this application, you acknowledge your responsibility to comply with New York State Executive Law, Article 15-A with respect to Minority and Women-owned Business Enterprise (MWBE) participation and Equal Employment Opportunity (EEO) requirements, and Article 17-B with respect to Service-Disabled Veteran-Owned Business (SDVOB. By checking this box, you acknowledge that you are aware of these obligations and that you are authorized to make this acknowledgement on behalf of the applicant.

- MWBE goals for WIIA or IMG with SRF financial assistance - 20%
- MWBE goals for WIIA or IMG without SRF financial assistance - 30%
- EEO goals for all applicants - % varies by County (<http://www.efc.ny.gov/mwbe>)
- SDVOB goals without SRF financial assistance – 6%
- SDVOB goals are not required, but encouraged, with SRF financial assistance

**MWBE/EEO/SDVOB Agreement**

Acknowledged

Upon submission of this application, you acknowledge that to receive financial assistance through the SRF, you will need to meet federal requirements and appropriate language must be included in the recipient's contracts. These requirements include, but are not limited to, Davis-Bacon and related acts, and American Iron and Steel. Recipients of financial assistance will be required to perform certain actions to verify compliance and ensure certain provisions are contained in all contracts and subcontracts. By checking this box, you acknowledge that you are aware of these requirements and that you are authorized to make this acknowledgement on behalf of the Applicant. Please refer to the current IUP for additional detail regarding your responsibilities under these



programs.

**Federal Requirement Acknowledgement**

Acknowledged

Upon submission of this application, you acknowledge that if you are not using SRF financial assistance to fund the balance of your project that you will be prepared to fund the balance of the project with funding similarly low cost to SRF financial assistance.

**SRF Financial Assistance Acknowledgement**

Acknowledged

## I. MWBE/SDVOB/EEO WORKPLAN

The Applicant will comply with the provisions of New York State Executive Law Article 15-A, 5 NYCRR Parts 140-145, Executive Law Article 17-B, 9 NYCRR Part 252, 40 CFR Part 33, Titles VI and VII of the Civil Rights Act of 1964, 40 CFR Part 7, 41 CFR Part 60-1 Subpart A, and 41 CFR Part 60-4, and other requirements prescribed by the New York State Environmental Facilities Corporation (“EFC”) relating to the participation of minority- and women-owned business enterprises (“MWBEs”), the participation of service-disabled veteran-owned business enterprises (“SDVOBEs”), equal employment opportunities (“EEO”), and affirmative action, throughout the life of the project.

**Designated Minority- & Women-Owned Business Enterprise Officer (“MBO”):** The MBO is the individual responsible for administering the Applicant’s MWBE, SDVOBE, and EEO programs. A document containing guidance for the MBO can be obtained from your Program Compliance Specialist at EFC.

**MBO Name** Catherine Santacoloma

**MBO Title** Project Administrator - Community Development

**MBO Phone Number** (914) 734-4212

**MBO Email Address** CSantacoloma@cityofpeekskill.com

**MBO Mailing Address** 840 Main Street  
Peekskill, New York, 10566

**Authorized Alternate Name** Mathew Alexander

**Authorized Alternate Title** City Manager

**Authorized Alternate Phone Number** (914) 734-4246

**Authorized Alternate Email Address** MAlexander@cityofpeekskill.com

**Actual or Estimated Contracts and Agreements**

Contract and Contractor Name (if known)	Amount	Amount Estimated or Actual	Start Date	Start Date Estimated or Actual
		Please Select		Please Select

## J. SIGNATURE FOR GRANT APPLICATION

In order to submit this application, you must upload the signature form which can be found at

[www.efc.ny.gov](http://www.efc.ny.gov). The form must be signed by the Highest Elected Official or the Authorized Representative for the applicant.

**Signature for Grant Application Upload**

